# Clinical signs as diagnostic test to assess hypoxemia in children with acute asthma exacerbation

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## ABSTRACT

Asthma attack can cause hypoxemia. One of the methods to detect hypoxemia is by using pulse oximetry. However, this tool is not always available in some health care centres. Therefore, a more rapid and simple diagnostic tool is needed as an alternative method to detect hypoxemia. This study aimed to assess signs and symptoms as diagnostic tools for hypoxemia in children with asthma. This was an analytical observational with cross-sectional design performed in Department of Pediatrics, Dr. Sardjito General Hospital/Faculty of Medicine, Universitas Gadjah Mada, Yogyakarta. The study was started in Februari 2010 until the sample size was sufficient. Seventy seven children with asthma between 0 - 18 years old who were presented to Emergency Department and Respiratory Outpatient Clinic were involvoled in this study. All subjects were examined for clinical signs and oxygen saturation as the gold standard. The prevalence of hypoxemia in children with asthma in this study was 18.2%. The best single clinical predictor of hypoxemia was tachycardia that yielded a sensitivity of 86% (95%CI: 67 - 100%) and specificity of 59% (95%CI: 49 - 71%), and nasal flaring yielded a sensitivity of 79% and specificity of 79%. The combination of 2 clinical signs namely chest wall retraction-nasal flaring increased a sensitivity of 79% and specificity of 71%, chest wall retraction-tachycardia increased a sensitivity of 86% and a specificity of 76%, chest wall retraction-tachypnoe increased a sensitivity of 86% and a specificity of 51%, tachycardia-tachypnoea increased a sensitivity of 79% and a specificity of 76%. The combination 3 clinical sign namely chest wall retraction-tachycardia-tachypnoea yielded a sensitivity of 79% and specificity of 79%. In conclusion, chest wall retraction and tachycardia have higher diagnostic score than other clinical signs to assess hypoxemia in children with asthma on acute exacerbation.

## ABSTRAK

Serangan asma dapat menyebabkan hipoksia. Salah satu metode untuk mendeteksi hipoksia adalah dengan oksimeter nadi. Namun demikian alat ini tidak selalu tersedia di pusat pelayanan kesehatan. Oleh karena itu, alat diagnosis yang lebih mudah dan cepat diperlukan sebagai metode alternatif mendeteksi hipoksia. Penelitian ini bertujuan untuk menilai gejala dan simptom sabagai alat diagnosis hipoksia pada anak penderita asma. Penelitian ini merupakan penelitian observasional analitik dengan rancangan potong lintang yang dilakukan di Bagian Ilmu Kesehatan Anak, Rumah Sakit Umum Dr. Sardjito/Fakultas Kedokteran, Universitas Gadjah Mada, Yogyakarta. Penelitian dimulai pada Februari 2010 hingga diperoleh sampel yang cukup. Tuju puluh tuju anak penderita asma berumur antara 0-18 tahun yang berkunjung ke Bagian Emergensi dan Klinik Rawat Jalan Pernafasan terlibat dalam penelitian. Semua subjek penelitian diperiksa gejala klinik dan saturasi oksigennya sebagai standar emas. Prevalensi hipoksia pada anak penderita asma dalam penelitian ini adalh 18.2%. Prediktor klinik tunggal terbaik untuk hipoksia adalah takikardia yang menghasilkan sensitivitas 86% (95%CI: 67 – 100%) dan spesifisitas 59% (95%CI: 49 – 71%), sedangkan pernafasan cuping hidung memberikan sensitivitas 79% dan spesifisitas 69%. Kombinasi

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2 gejala klinik dapat meningkatkan sensitivitas dan spesifisitas yaitu retraksi dinding dadapernafasan cuping hidung meningkatkan sensitivitas 79% dan spesifisitas 71%, retraksi dinding dada-takikardia meningkatkan sensitivitas 86% dan spesifisitas 76%, retraksi dinding dadatakipnea meningkatkan sensitivitas 86% dan spesifisitas 51%, takikardia-takipnea meningkatkan sensitivitas 79% dan spesifisitas 76%. Kombinasi 3 gejala klinik yaitu retraksi dinding dadatakikardia-takipnea meningkatkan sensitifitas 79% dan spesifisitas 79%. Dapat disimpulkan retraksi dinding dada dan takikardia mempunyai nilai diaknosis lebih tinggi dari pada gejala klinik lain untuk menilai hipoksia pada anak penderita asma saat eksaserbasi akut.

Keywords: asthma - hypoxemia - clinical signs - children - assessment

## INTRODUCTION

Asthma is a common chronic respiratory disease in children characterized by episodes or attacks of impaired breathing. The asthma symptoms are caused by inflammation and narrowing of small airways and may include shortness of breath, coughing, wheezing, and chest pain.<sup>1</sup>The prevalence of asthma increases gradually each year in both developed and developing countries. The current prevalence of asthma ranges from 2 - 30%.<sup>2,3</sup> The episode of asthma attack ranges from mild to severe which may cause death. Asthma is one of the five major diseases that causes death. It is estimated that about 17.4% of death are caused by asthma.<sup>4</sup>

Due to better understanding of pathophysiology, the availability of effective therapy and extensive application of evidence-base treatment guidelines in the past decade has made the asthma mortality rate gradually in decline in several reports.<sup>5,6</sup> However, if asthma is not prevented and treated properly, the prevalence of asthma may continue to increase and disturb the growth and development of children as well as their quality of life.

Hypoxemia is one of the imporant risk factors that may contribute to death of asthma patients. Hypoxemia is a condition in which patients do not get adequate oxygen in their blood circulation.<sup>7</sup> Pulse oximetry is a non-invasive simple method used to estimate the

level of arterial oxygen saturation  $(SaO_2)$  of hemoglobin. It is often used to detect the hypoxemia condition of asthma patients. However, this device is often not available in some health care centres especially in developing countries. Therefore, a more rapid and simple diagnostic method to estimate  $SaO_2$  is urgently needed as an alternative method to detect hypoxemia in asthma patients. This study aimed to assess signs and symptoms as diagnostic tools for detecting hypoxemia (SpO<sub>2</sub> of 90%) in children with asthma.

## MATERIALS AND METHODS

#### **Subjects**

This was an analytical observational study with cross-sectional design performed in Department of Pediatrics, Dr. Sardjito General Hospital/Faculty of Medicine, Universitas Gadjah Mada, Yogyakarta. The study was started in Februari 2010 until the sample size was sufficient. Children with asthma on acute exacerbation who were presented to Emergency Department and Respiratory Outpatient Clinic and fulfilled the inclusion and exclusion criteria were involved in this study. The inclusion criteria were children aged 0 - 18 years with asthma on acute exacerbation who gave proxy consent and had no breathing failure. The exclusion criteria were children who experienced shock, severe anemia and heart diseases. This study was approved by the Medical and

Health Research Ethics Committee, Faculty of Medicine, Universitas Gadjah Mada, Yogya-karta.

## Protocols

The signs and symptoms of children who fulfilled the inclusion criteria were recorded using standadized forms. Children were diagnosed with asthma if they showed recurrent wheezing with persistent cough, particularly at night, after physical activity, and also had a family history of asthma and allergy. Asthma on acute exacerbation was defined when the shortness of breath worsened. The children were then examined and counted for their clinical signs namely pulse rate, respiratory rate, tachypnoea, retraction, head nodding, nasal flaring, cyanosis and conciousness level by a physician.

Pulse rate was counted from the palpation of radial artery per minutes. Tachycardia was defined if pulse rate was >60 times/minutes in children aged <1 year, >120 times/ minutes in children aged 2-8 years, >100 times/minutes in children aged 8 - 18 years. Respiratory rate was counted per minute by observing the movement of abdominal wall and one breath was counted from the upward movement of abdomen. Tachypnoea was defined when the respiratory rate was >50 times/minute in children aged <1 year, >40 times/minute in children aged 1-5 years, >30 times/minute in children aged 6 - 18 years. Retraction was assessed by the presence of retraction on the chest wall (suprasternal and/or subcostal, intercostal). Head nodding was noted by observing movement of the head for every breath. Nasal flaring was assessed by observing movement of the nostrils for 30 seconds.

Cyanosis was observed by looking at the bluish color of the lips and tongue. Cyanosis was defined when the color was bluish, but, reddish if it was not cyanotic. Consciousness level was noted by the Glascow's coma scale. Decreased consciousness was defined when the coma scale was below normal.

The children's arterial oxygen saturation was then measured with a pulse oximeter placed on a toe or finger, while the patient breathed room air. Hypoxemia was defined as an arterial oxygen saturation  $\leq$  90% recorded by the pulse oximeter.

## Stastitical analysis

Statistical analysis was performed using SPSS. Data were expressed as mean  $\pm$  standard deviation (SD) for quantitative variables and as number and percentage for qualitative values. Clinical signs between hypoxemic and non-hypoxemic children with asthma were compared using the Chi square test, or by the Fisher's exact test if the expected frequencies were less than 5. A p value of  $\leq 0.05$  was considered significant. The sensitivity and specificity of each clinical sign in its ability to predict hypoxemia was also calculated.

# RESULTS

Seventy nine children with asthma were selected during the study. However, 2 children were excluded because they suffered from heart diseases resulting a total number of 77 subjects. The characteristics of subjects is presented in TABLE 1. Mean age of subjects was 5 years and 9 months. Among 77 subjects involved in this study, 46 (59.7%) subjects were male.

Grou	p Hypoxemia n=14	No hypoxemia
Characteristics	(n/%)	n=63
Characteristics		(n/%)
Sex		
• Male	9 (64.3)	37 (58.7)
• Female	5 (35.7)	26 (41.3)
Age (year)		
• < 1	2 (14.3)	3 (4.8)
• 1-5	7 (50.0)	29 (46.0)
• 6-18	5 (35.7)	31 (49.2)
Type of asthma attacks		
• Mild	0 (0)	54 (85.7)
Moderate	8 (57.1)	9 (14.3)
• Severe	6 (42.9)	0 (0)
Episode attacks		
• Frequent	7 (50.0)	50 (79.4)
• Rare	1.7 (1.7)	13 (20.6)
Wheezing without stethoscope	4 (28.6)	13 (20.6)
Wheezing with stethoscope	10 (71.4)	50 (79.4)

TABLE 1. Characteristics of subjects according to the percentage in the group of hypoxemia and hypoxemia

The hypoxemia was observed in 14 (18.2%) patients among 77 patients involved during the study. Mean of  $SpO_2$  of all asthma patients is presented in FIGURE 1. Mean  $SpO_2$ 

of all patients, patients with hypoxemia and patents without hypoxemia were  $94.3 \pm 3.1\%$ ,  $89.4 \pm 0.9\%$  and  $95.4 \pm 2.1\%$ , respectively.

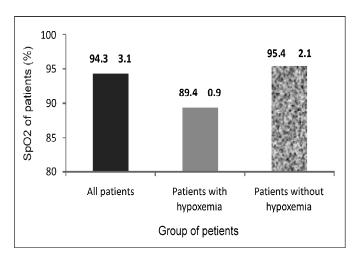


FIGURE 1. Mean of SpO<sub>2</sub> of asthma patients in each group

Diagnostic test on each clinical signs of hypoxemia on asthma patients is presented in TABLE 2. Tachycardia and nasal flaring were the best predictors for detecting hypoxemia on asthma patients. The sensitivity and specificity of tachycardia for detecting hypoxemia on asthma patients were 86% (95% CI: 67-100) and 59% (95% CI: 49-71), respectively. While the sensitivity and specificity of nasal flaring were 79% (95% CI: 57 - 100) and 69% (95% CI: 58 -80), respectively.

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Single clinical sign	Sen (%)	95% CI	Spe (%)	95% CI
Nasal flaring	79	57-100	69	58-80
Head nodding	43	17-69	100	100-100
Chest wall retraction	100	100-100	35	23-47
Cyanosis	29	5-52	94	89-100
Tachycardia	86	67-100	59	49-71
Tachypnoea	86	67-100	41	29-53
Consciousness	0		100	100-100

TABLE 2.The value of sensitivity and specificity of single clinical signs for for<br/>detection hypoxemia in children with acute asthma exacerbation

Note: Sen = sensitivity, Spe = specificity, CI = confidence interval

The combination of 2 clinical signs for detecting hypoxemia on asthma patients is presented in TABLE 3. This combination can increase both sensitivity and specificity for detecting hypoxemia on asthma patients. The combination of 2 clinical signs that did not show wide range of difference between sensitivity and specificity were chest wall retraction and nasal flaring (79% for sensitivity and 71% for specificity), chest wall retraction and tachycardia (86% and 76%), chest wall retraction and tachypnoea (86% and 51%), tachycardia and tachypnoea (79% and 76%).

TABLE 3.The value of sensitivity and specificity of 2 clinical signs combination for detection<br/>hypoxemia in children with acute asthma exacerbation

(%) 95% C	CI Spe (%)	95% CI
3 17-69	100	100-100
9 57-10	0 71	60-83
9 5-52	97	92-100
48-95	84	75-93
48-95	75	64-85
3 17-69	100	100-100
.9 5-52	100	100-100
3 17-69	100	100-100
3 17-69	100	100-100
9 5-52	97	92-100
6 67-10	0 76	66-87
6 67-10	0 51	38-63
.9 5-52	95	90-100
.9 5-52	95	90-100
9 57-10	0 76	66-87
	13 17-69   13 17-69   19 57-100   19 5-52   11 48-95   13 17-69   13 17-69   13 17-69   13 17-69   13 17-69   13 17-69   13 17-69   13 17-69   13 17-69   13 17-69   13 17-69   13 17-69   13 17-59   13 17-59   14 5-52   15 5-52   16 67-100   17 5-52   18 5-52   19 5-52   19 5-52   10 5-52	$\begin{array}{c} (\%) & 93\%  \mathrm{Cl} & (\%) \\ (\%) & 93\%  \mathrm{Cl} & (\%) \\ (\%) & 17-69 & 100 \\ 79 & 57-100 & 71 \\ 29 & 5-52 & 97 \\ 71 & 48-95 & 84 \\ 71 & 48-95 & 75 \\ 13 & 17-69 & 100 \\ 29 & 5-52 & 100 \\ 13 & 17-69 & 100 \\ 13 & 17-69 & 100 \\ 29 & 5-52 & 97 \\ 36 & 67-100 & 76 \\ 36 & 67-100 & 51 \\ 29 & 5-52 & 95 \\ 20 & 5-52 & 95$

Note: Sen = sensitivity, Spe = specificity, CI = confidence interval

After the 3 clinical signs were combined, only one combination having good sensitivity and specificity was obtained (TABLE 4). This combination was chest wall retraction, tachycardia and tachypnoea with sensitivity of 79% and specificity of 79%. This means that the chest wall retraction, tachycardia and tachypnoea had 79% of positive results in detecting hypoxemia as well as determining the patients without hypoxemia.

TABLE 4.The value of sensitivity and specificity of 3 clinical signs combination for for detection hypoxemia in<br/>children with acute asthma exacerbation

Combination of 3 clinical signs hypoxemia	Sen (%)	95% CI	Spe (%)	95% CI
Nasal flaring, head nodding, chest wall retraction	43	17 <b>-</b> 69	100	100-100
Nasal flaring, head nodding, cyanosis	29	5-52	100	100-100
Nasal flaring, head nodding, tachycardia	43	17-69	100	100-100
Nasal flaring, head nodding, tachypoea	43	17-69	100	100-100
Nasal flaring, chest wall retraction, cyanosis	29	5-52	97	92-100
Nasal flaring, chest wall retraction, tachycardia	71	48-95	87	79-96
Nasal flaring, chest wall retraction, tachypnoea	71	48-95	75	64-85
Nasal flaring, cyanosis, tachycardia	29	5-52	97	92-100
Nasal flaring, chest wall retraction, tachypnoea	29	5-52	97	92-100
Head nodding, chest wall retraction, cyanosis	29	5-52	100	100-100
Head nodding, chest wall retraction, tachypnoea	43	17-69	100	100-100
Head nodding, cyanosis, tachycardia	29	5-52	100	100-100
Head nodding, cyanosis, tachypnoea	29	5-52	100	100-100
Head nodding, tachycardia, tachypnoea	43	17-69	100	100-100
Chest wall retraction, cyanosis, tachycardia	29	5-52	97	92-100
Chest wall retraction, cyanosis, tachypnoea	29	5-52	97	92-100
Chest wall retraction, tachycardia, tachypnoea	79	57-100	79	69-89
Cyanosis, tachycardia, tachypnoea	29	52-100	95	90-100

Note: Sen = sensitivity, Spe = specificity, CI = confidence interval

The combination of 4, 5 and 6 clinical signs for detecting hypoxemia on asthma patients was also evaluated. However, these combinations did not increase the sensitivity and specificity.

## DISCUSSION

The prevalence of hypoxemia in children with asthma has been reported by some authors. Rahnama'i *et al.*<sup>8</sup> reported that hypoxemia was seen in 45% children with asthma at presentat-

ion and 28.6% one hour after presentation. Male *et al.*<sup>9</sup> also reported among 27 asthmatic children who were present in the hospital, 12 (44%) of them had hypoxemia at admission. The prevalence reported in this study was lower than previous reports. The hypoxemia was observed in 14 (18.2%) patients among 77 patients involved in this study.

Some factors influence the prediction of hypoxemia prevalence in children with asthma

such as definition of hypoxemia, severity of the asthma attack, and location characteristics of the studies. Male et al.9 used the presence of  $SaO_2 < 92$  as an indicator of a severe and potentially life threatening asthma attack, whereas Rahnama'i et al.8 used the presence of SaO<sub>2</sub> d" 92% as an indicator of moderate and severe asthma. In this study, the presence of  $SaO_2 < 90\%$  was used as an indicator of hypoxemia in children with asthma. Severity of an asthma attack influences the hypoxemia prevalence because patients who are having an episode of asthma attack will have varying degrees of hypoxemia, depending on the severity of the episode or exacerbation.<sup>10</sup> The study location characteristics, whether in emergency department or outpatient clinic, will influence asthma patient characteristics and severity of asthma attack. The differences of the hypoxemia definition, the severity of the asthma attack, and the location characteristics of the studies will determine patients who were included in the inclusion criteria of the study.

The studies of various symptoms and clinical signs as predictor for hypoxemia in several illness have been conducted with different findings. Lodha et al.7 reported that none of the clinical signs either alone or in combination had desirable sensitivity and specificity to predict hypoxemia in children with acute lower respiratory tract infection (ALRI). However, Singhi et al.11 concluded that several respiratory signs namely breathing, cyanosis, grunting, nasal flaring, chest retractions, head nodding and auscultatory signs were found to be associated with hypoxemia. Moreover, it could be used to predict hypoxemia in children with ALRI with reasonable accuracy. In acute chilhood asthma, the accessory muscel score e" 3 and pulsus paradoxus A 10 were indentified as independent predictors of hypoxemia with sensitivity of 64.3% and specificity of 91%.8 In this study, it was found that the tachycardia and nasal flaring had higher diagnostic score than other clinical signs to predict hypoxemia in children with asthma on acute exacerbation. The different findings in the use of symptoms and clinical signs as predictor for hypoxemia are influenced by some factors such as the age of patients, kind and severity of ilness, and kind of clinical signs use.

Some studies showed that there is no single clinical sign that can predict hypoxaemia with both high sensitivity and specificity. Several combination of clinical signs have been suggested to improve sensitivity. These combinations generally use a clinical sign of severe respiratory distress, such as chest wall indrawing, head nodding or very fast breathing combined with a sign for general depression such as the inability to feed? or move or being unconscious. The presence of cyanosis might be added.<sup>12</sup> For example, Rahnama'i et al.8 combined chest wall retraction and pulsus paradoxus as clinical signs to predict hypoxemia in acute chilhood asthma that yielded a sensitivity of 86% and specificity of 59%. A combination of three clinical signs namely tachypnoea, retraction and crepitation was the best predictors for diagnosis hypoxemia in children with acute lower respiratory tract infections with sensitivity of 67.8% and specificity of 96.2%. In addition, a combination between cyanosis, nasal flaring, and inability to drink yielded a sensitivity of 92% and specificity of 86%.7

The pathophysiology of hypoxemia in patients with acute lower respiratory tract infections such as pneumonia and asthma is similar. In patients with asthma, respiratory tract obstruction occur due to bronchial muscle spasm and mucous edema causing increase the mucous production. These symptoms increase the resistance in the respiratory airways, entrapment of the air, and development of lung hyperinflation, resulting in imbalance of ventilation and difusion, distrubance in gas exchange, and low oxygen inspiration. Hyperventilation may be resulted as a way to obtain sufficient oxygen for the body.<sup>13</sup> In pneumonia, respiratory tract obstruction occur due to viral, bacterial or microbes infection that leads to lung consolidation resulting in poor oxygenation. Pneumonia causes abnormalities of the lungs through the decrease in surface area of membranous respiration and ventilationperfusion ratio resulting the decrease of diffusion capacity and persistent hypoxemia.14,15 Although the pathophysiology of hypoxemia in patients pneumonia and asthma is similar, the same clinical signs that are used to predict hypoxemia in both illness may yield in different sensitivity and specificity.

Some limitations were observed during this study. The clinical signs examination was only conducted by two examiners. Ideally it is conducted by at least three examiners who are expert in the physical examination skills. Moreover, the clinical examination and pulse oxymetry measurement were conducted wihout blinding due to ethical reasons. The acute asthma excerbation is an emergency case, therefore it must be taken care of with the standard management of acute asthma excerbation. The validation of pulse oxymetri measurement with blood gas analysis was not conducted in this study. The blood analysis was only performed in children with breathing failure. It was not conducted simultaneously with the clinical signs, examination and oxygen saturation measurement by pulse oximeter to avoid measurement bias due to the necessary preparatin for blood sampling.

### CONCLUSION

The combination of chest wall retraction and tachycardia is the best predictor to assess hypoxemia in children with acute asthma exacerbation.

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